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| Logo: The Early Childhood Technical Assistance Center (ECTA) | Logo: The Center for IDEA Early Childhood Data Systems (DaSy) | Logo: The Center for IDEA Fiscal Reporting (CIFR) |

EIS Provider Fiscal Monitoring Indicator Template

*For more information and example indicators, visit* [*https://ectacenter.org/topics/finance/partcfiscalmonitoring.asp*](https://ectacenter.org/topics/finance/partcfiscalmonitoring.asp)

# Considerations for Developing EIS Provider Fiscal Monitoring Indicators

These guiding questions and accompanying template can aid states in establishing their own fiscal monitoring indicators:

* What are the **legal foundations** or other rationale for monitoring this indicator? These might be from federal statues like the [IDEA](https://sites.ed.gov/idea/statute-chapter-33), the [Part C Regulations](https://www.ecfr.gov/current/title-34/subtitle-B/chapter-III/part-303?toc=1), or state laws or written policies.
* Who is the **responsible party** for monitoring the indicator?
* How **frequently** will the indicator be monitored?
* What **method** will be used to accomplish monitoring the indicator?
* What **data sources** does the responsible party need access to (e.g., child records, consent forms, financial agreement forms)?
* What **amount of data** is needed (e.g., a minimum number or percentage of relevant records)?
	+ What amount of data is **sufficient to reach a sound conclusion** that the EIS provider demonstrates 100% compliance with the fiscal monitoring indicator (*e.g.*, IDEA fiscal requirement) or if the EIS provider has noncompliance?
	+ Does the data **represent the diversity and population served** within each EIS provider to ensure equitable decisions are made in identifying noncompliance?
* What are the **compliance criteria** (how the responsible party will know if the indicator has been met)?
* What are the **procedures for using this information** to determine if there is compliance or noncompliance for the indicator?

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| **Correction of noncompliance is a critical step in the monitoring process**. Those details are addressed in [A State Guide on Identifying, Correcting, and Reporting Noncompliance with IDEA Requirements](https://ectacenter.org/~pdfs/topics/gensup/identifyingnoncompliance.pdf). |

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# Indicator 1: Title of Indicator

| Indicator 1 | Description |
| --- | --- |
| Legal Foundations |  |
| Responsible Party |  |
| Frequency and Method |  |
| Data Sources |  |
| Amount of Data |  |
| Compliance Criteria |  |
| Monitoring Summary |  |

# Indicator 2: Title of Indicator

| Indicator 2 | Description |
| --- | --- |
| Legal Foundations |  |
| Responsible Party |  |
| Frequency and Method |  |
| Data Sources |  |
| Amount of Data |  |
| Compliance Criteria |  |
| Monitoring Summary |  |

# Indicator 3: Title of Indicator

| Indicator 3 | Description |
| --- | --- |
| Legal Foundations |  |
| Responsible Party |  |
| Frequency and Method |  |
| Data Sources |  |
| Amount of Data |  |
| Compliance Criteria |  |
| Monitoring Summary |  |

# Indicator 4: Title of Indicator

| Indicator 4 | Description |
| --- | --- |
| Legal Foundations |  |
| Responsible Party |  |
| Frequency and Method |  |
| Data Sources |  |
| Amount of Data |  |
| Compliance Criteria |  |
| Monitoring Summary |  |