|  |  |  |
| --- | --- | --- |
| Logo: The Early Childhood Technical Assistance Center (ECTA) | Logo: The Center for IDEA Early Childhood Data Systems (DaSy) | Logo: The Center for IDEA Fiscal Reporting (CIFR) |

EIS Provider Fiscal Monitoring Indicator Template

*For more information and example indicators, visit* [*https://ectacenter.org/topics/finance/partcfiscalmonitoring.asp*](https://ectacenter.org/topics/finance/partcfiscalmonitoring.asp)

# Considerations for Developing EIS Provider Fiscal Monitoring Indicators

These guiding questions and accompanying template can aid states in establishing their own fiscal monitoring indicators:

* What are the **legal foundations** or other rationale for monitoring this indicator? These might be from federal statues like the [IDEA](https://sites.ed.gov/idea/statute-chapter-33), the [Part C Regulations](https://www.ecfr.gov/current/title-34/subtitle-B/chapter-III/part-303?toc=1), or state laws or written policies.
* Who is the **responsible party** for monitoring the indicator?
* How **frequently** will the indicator be monitored?
* What **method** will be used to accomplish monitoring the indicator?
* What **data sources** does the responsible party need access to (e.g., child records, consent forms, financial agreement forms)?
* What **amount of data** is needed (e.g., a minimum number or percentage of relevant records)?
  + What amount of data is **sufficient to reach a sound conclusion** that the EIS provider demonstrates 100% compliance with the fiscal monitoring indicator (*e.g.*, IDEA fiscal requirement) or if the EIS provider has noncompliance?
  + Does the data **represent the diversity and population served** within each EIS provider to ensure equitable decisions are made in identifying noncompliance?
* What are the **compliance criteria** (how the responsible party will know if the indicator has been met)?
* What are the **procedures for using this information** to determine if there is compliance or noncompliance for the indicator?

|  |
| --- |
| **Correction of noncompliance is a critical step in the monitoring process**. Those details are addressed in [A State Guide on Identifying, Correcting, and Reporting Noncompliance with IDEA Requirements](https://ectacenter.org/~pdfs/topics/gensup/identifyingnoncompliance.pdf). |

A picture containing company name

Description automatically generatedThe contents of this document were developed under grants #H373Z190002 (DaSy) and #H373F200001 (CIFR), and cooperative agreement #H326P170001 (ECTA), from the Office of Special Education Programs, U.S. Department of Education. However, the content does not necessarily represent the policy of the U.S. Department of Education, and you should not assume endorsement by the Federal Government.

ECTA Center Project Officer: Julia Martin Eile DaSy Center Project Officers: Meredith Miceli and Amy Bae

CIFR Project Officers: Jennifer Finch and Charles Kniseley

# Indicator 1: Title of Indicator

| Indicator 1 | Description |
| --- | --- |
| Legal Foundations |  |
| Responsible Party |  |
| Frequency and Method |  |
| Data Sources |  |
| Amount of Data |  |
| Compliance Criteria |  |
| Monitoring Summary |  |

# Indicator 2: Title of Indicator

| Indicator 2 | Description |
| --- | --- |
| Legal Foundations |  |
| Responsible Party |  |
| Frequency and Method |  |
| Data Sources |  |
| Amount of Data |  |
| Compliance Criteria |  |
| Monitoring Summary |  |

# Indicator 3: Title of Indicator

| Indicator 3 | Description |
| --- | --- |
| Legal Foundations |  |
| Responsible Party |  |
| Frequency and Method |  |
| Data Sources |  |
| Amount of Data |  |
| Compliance Criteria |  |
| Monitoring Summary |  |

# Indicator 4: Title of Indicator

| Indicator 4 | Description |
| --- | --- |
| Legal Foundations |  |
| Responsible Party |  |
| Frequency and Method |  |
| Data Sources |  |
| Amount of Data |  |
| Compliance Criteria |  |
| Monitoring Summary |  |